



# Considering the Higher-Order Effect of Residual Modes in the Craig–Bampton Method

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**In this paper, the accuracy of the Craig–Bampton method, one of the most widely used component mode synthesis methods, is improved. Considering the higher-order effect of residual modes that are simply truncated in the Craig–Bampton method, the original finite element model can be more accurately reduced. In this formulation, unknown eigenvalues are considered as additional generalized coordinates, which can be eliminated by employing the concept of system equivalent reduction expansion process. The new component mode synthesis is named the higher-order Craig–Bampton method. The formulation of the higher-order Craig–Bampton method is presented, and its improved accuracy is demonstrated through various examples.**

## Nomenclature

$F$	=	residual flexibility matrix
$K$	=	stiffness matrix
$M$	=	mass matrix
$q$	=	modal coordinate vector
$T$	=	transformation matrix
$u$	=	displacement vector
$\Lambda$	=	eigenvalue matrix
$\lambda$	=	eigenvalue
$\Phi$	=	eigenvector matrix
$\varphi$	=	eigenvector
$\Psi$	=	constraint mode matrix

## I. Introduction

COMPONENT mode synthesis (CMS) methods have been widely used in finite element (FE) analysis of structural dynamics problems. CMS methods are very effective for calculating modal solutions (mode shapes and natural frequencies) of complicated and large FE models, which usually consist of many substructures [1–25]. CMS methods have also been frequently employed to reduce the number of degrees of freedom (DOFs) of structural dynamics models for airplane, automobile, and ship structures [1,2].

After Hurty’s pioneering work in 1965 [3], various CMS methods have been developed; see [4–25]. The methods have different characteristics and advantages. Among them, the Craig–Bampton method has been mostly widely used due to its simplicity and robustness. In the Craig–Bampton (CB) method [4], a structural FE model is represented by an assemblage of substructures that are connected through a fixed interface boundary. The modal solution of the original FE model is synthesized by selecting only dominant modes obtained solving the substructural eigenvalue problems, and the remaining modes not selected are designated as the residual modes. To define the dominant and residual modes appropriately, several mode selection methods [5–7] have been well studied.

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Recently, considering the first-order effect of the residual modes, the CB method was significantly improved by Kim and Lee [19]. The new method is named the enhanced CB method (ECB), in which the unknown eigenvalue included in the formulation is replaced with the multiplication of the inverse matrix of the reduced mass matrix and the reduced stiffness matrix, which are the already-known matrices, by adopting O’Callahan’s idea [26]. Then, there is a natural question: what happens if the second-, third-, or higher-order effects of the residual modes are considered? However, O’Callahan’s idea is invalid to consider such higher-order effects.

In this study, we develop a new CMS method under the consideration of higher-order effects of residual modes, leading to further improvements in the accuracy of the CB method. In this formulation, the new generalized coordinate vector is defined by considering the additional coordinates containing the unknown eigenvalues. Employing the concept of system equivalent reduction expansion process (SEREP) [27], the additional unknowns are then eliminated. We name this the higher-order CB (HCB) method.

In the following sections, we will briefly review the CB method, define the residual flexibility, derive the formulation of the HCB method, and present the performance of HCB method through various numerical examples: rectangular plate, cylindrical panel, hyperboloid shell, bent pipe, and automobile wheel problems. The numerical results are compared to the existing CB and ECB methods.

## II. Craig–Bampton Method

In the CB method, the global (original) FE model is assembled using  $N_s$  substructures connected through a fixed-interface boundary; see Fig. 1. The equations of motion for free vibration without damping are given by

$$M_g \ddot{u}_g + K_g u_g = \mathbf{0} \quad \text{with} \quad M_g = \begin{bmatrix} M_s & M_c \\ M_c^T & M_b \end{bmatrix},$$

$$K_g = \begin{bmatrix} K_s & K_c \\ K_c^T & K_b \end{bmatrix}, \quad u_g = \begin{Bmatrix} u_s \\ u_b \end{Bmatrix} \quad (1)$$

where  $M_g$  and  $K_g$  are the global mass and stiffness matrices, and  $u_g$  is the global displacement vector. The subscripts  $s$  and  $b$  represent the substructural and interface boundary quantities, respectively, and  $c$  represents the coupled quantities between the substructures and interface boundary. The double dot ( $\ddot{\cdot}$ ) indicates the second-order differentiation with respect to time  $t$ , i.e.,  $d^2(\cdot)/dt^2$ . Here,  $M_s$  and  $K_s$  are the block-diagonal matrices, and their diagonal component matrices are the substructural mass and stiffness matrices  $M_s^{(i)}$  and  $K_s^{(i)}$  (for  $i = 1, 2, \dots, N_s$ ).

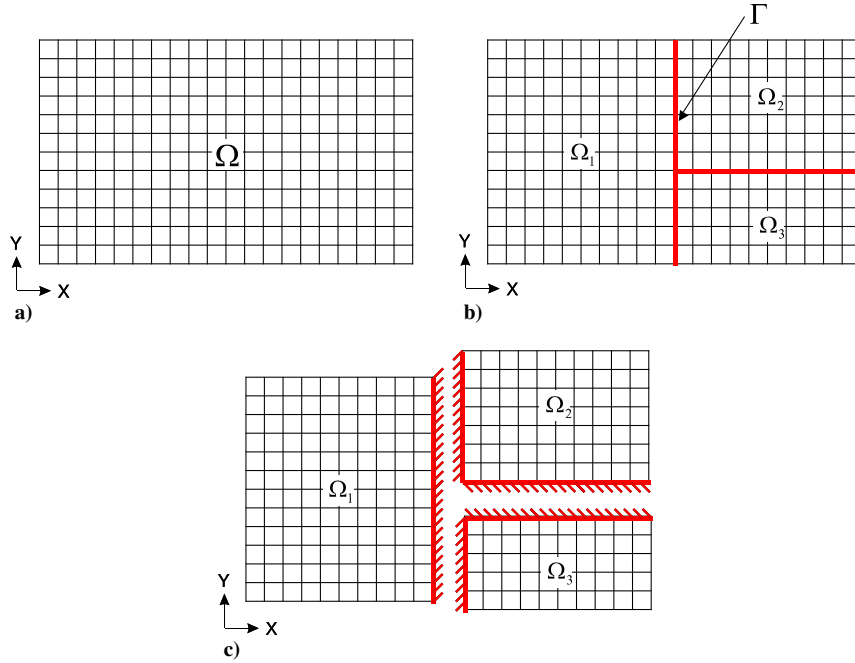


Fig. 1 Partitioning procedures in the Craig-Bampton method: a) global FE model, b) partitioned FE models, and c) fixed-interface boundary.

The global eigenvalue problem is defined as

$$\mathbf{K}_g \{\boldsymbol{\varphi}_g\}_j = (\lambda_g)_j \mathbf{M}_g \{\boldsymbol{\varphi}_g\}_j \quad \text{for } j = 1, 2, \dots, N_g \quad (2)$$

in which  $(\lambda_g)_j$  and  $\{\boldsymbol{\varphi}_g\}_j$  are the global eigenvalue and eigenvector corresponding to the  $j$ th global mode, respectively, and  $N_g$  is the number of DOFs in the global FE model. Note that, in engineering practice, only a small fraction of the total eigenpairs needs to be considered (for  $j = 1, 2, \dots, p$ , where  $p \ll N_g$ ).

In structural dynamics, the square root of the eigenvalue ( $\sqrt{\lambda_j}$ ) and eigenvector are interpreted as a natural frequency  $\omega_j$  and the corresponding mode shape, respectively. Note that the eigenvectors are scaled to satisfy the following mass-orthonormality condition:

$$\{\boldsymbol{\varphi}_g\}_i^T \mathbf{M}_g \{\boldsymbol{\varphi}_g\}_j = \delta_{ij} \quad \text{for } i \text{ and } j = 1, 2, \dots, N_g \quad (3)$$

where  $\delta_{ij}$  is the Kronecker delta ( $\delta_{ij} = 1$  if  $i = j$ , otherwise  $\delta_{ij} = 0$ ).

Using the eigenvectors calculated in Eq. (2), the global displacement vector  $\mathbf{u}_g$  is represented as

$$\mathbf{u}_g = \boldsymbol{\Phi}_g \mathbf{q}_g, \quad \boldsymbol{\Phi}_g = \left[ \{\boldsymbol{\varphi}_g\}_1 \quad \{\boldsymbol{\varphi}_g\}_2 \quad \dots \quad \{\boldsymbol{\varphi}_g\}_{N_g} \right], \quad \mathbf{q}_g = \begin{Bmatrix} q_1 \\ q_2 \\ \vdots \\ q_{N_g} \end{Bmatrix} \quad (4)$$

where  $\boldsymbol{\Phi}_g$  is the global eigenvector matrix containing the eigenvectors  $\{\boldsymbol{\varphi}_g\}_i$ , and  $\mathbf{q}_g$  is the modal coordinate vector containing the modal coordinates  $q_i$  corresponding to  $\{\boldsymbol{\varphi}_g\}_i$ .

In the CB method, the global displacement vector  $\mathbf{u}_g$  is represented as

$$\mathbf{u}_g = \mathbf{T}_0 \mathbf{u}_0, \quad \mathbf{T}_0 = \begin{bmatrix} \boldsymbol{\Phi}_s & \boldsymbol{\Psi}_c \\ \mathbf{0} & \mathbf{I}_b \end{bmatrix}, \quad \mathbf{u}_0 = \begin{Bmatrix} \mathbf{q}_s \\ \mathbf{u}_b \end{Bmatrix} \quad (5)$$

in which  $\mathbf{T}_0$  and  $\mathbf{u}_0$  are the global transformation matrix and its generalized coordinate vector, respectively;  $\boldsymbol{\Phi}_s$  and  $\boldsymbol{\Psi}_c$  are the fixed-interface normal mode and constraint mode matrices, respectively;  $\mathbf{I}_b$  is the identity matrix for the interface boundary;  $\mathbf{q}_s$  is the modal coordinate vector corresponding to  $\boldsymbol{\Phi}_s$ ; and  $\mathbf{u}_b$  is the interface boundary displacement vector.

Note that the matrices  $\boldsymbol{\Phi}_s$  and  $\boldsymbol{\Psi}_c$  in the global transformation matrix  $\mathbf{T}_0$  are expressed in a substructural matrix form as

$$\boldsymbol{\Phi}_s = \begin{bmatrix} \boldsymbol{\Phi}_s^{(1)} & & & \mathbf{0} \\ & \boldsymbol{\Phi}_s^{(2)} & & \\ & & \ddots & \\ \mathbf{0} & & & \boldsymbol{\Phi}_s^{(N_s)} \end{bmatrix}, \quad \boldsymbol{\Psi}_c = \begin{bmatrix} \boldsymbol{\Psi}_c^{(1)} \\ \boldsymbol{\Psi}_c^{(2)} \\ \vdots \\ \boldsymbol{\Psi}_c^{(N_s)} \end{bmatrix} \quad \text{with } \boldsymbol{\Psi}_c^{(i)} = -(\mathbf{K}_s^{(i)})^{-1} \mathbf{K}_c \quad (6)$$

The diagonal component matrices of  $\boldsymbol{\Phi}_s$  in Eq. (6) can be obtained by solving the following substructural eigenvalue problems:

$$\mathbf{K}_s^{(i)} \boldsymbol{\Phi}_s^{(i)} = \Lambda_s^{(i)} \mathbf{M}_s^{(i)} \boldsymbol{\Phi}_s^{(i)}, \quad \boldsymbol{\Phi}_s^{(i)} = \begin{bmatrix} \boldsymbol{\Phi}_d^{(i)} & \boldsymbol{\Phi}_r^{(i)} \end{bmatrix} \quad \text{for } i = 1, 2, \dots, N_s \quad (7)$$

where  $\boldsymbol{\Phi}_s^{(i)}$  and  $\Lambda_s^{(i)}$  are the substructural eigenvector and eigenvalue matrices corresponding to the  $i$ th substructure, and the substructural eigenvector matrix  $\boldsymbol{\Phi}_s^{(i)}$  is divided into the dominant term  $\boldsymbol{\Phi}_d^{(i)}$  and residual term  $\boldsymbol{\Phi}_r^{(i)}$ . The subscripts  $d$  and  $r$  denote the dominant and residual quantities. Note that, in the substructural eigenvalue problems, a small fraction of the total substructural eigenpairs is calculated.

Using the substructural eigenvector matrices  $\boldsymbol{\Phi}_d^{(i)}$  and  $\boldsymbol{\Phi}_r^{(i)}$ , the fixed-interface normal modes matrix  $\boldsymbol{\Phi}_s$  can be reordered as

$$\boldsymbol{\Phi}_s = [\boldsymbol{\Phi}_d \quad \boldsymbol{\Phi}_r] \quad (8)$$

in which  $\boldsymbol{\Phi}_d$  and  $\boldsymbol{\Phi}_r$  are the dominant and residual eigenvector matrices, respectively, and these matrices are the block-diagonal matrices, in which diagonal terms consist of the substructural eigenvector matrices,  $\boldsymbol{\Phi}_d^{(i)}$  and  $\boldsymbol{\Phi}_r^{(i)}$ , described in Eq. (7).

Substituting Eq. (8) into Eq. (5), the global displacement vector  $\mathbf{u}_g$  is represented as

$$\mathbf{u}_g = \begin{Bmatrix} \mathbf{u}_s \\ \mathbf{u}_b \end{Bmatrix} = \mathbf{T}_0 \mathbf{u}_0 \quad \text{with} \quad \mathbf{T}_0 = \begin{bmatrix} \Phi_d & \Phi_r & \Psi_c \\ \mathbf{0} & \mathbf{0} & I_b \end{bmatrix}, \quad \mathbf{u}_0 = \begin{Bmatrix} \mathbf{q}_d \\ \mathbf{q}_r \\ \mathbf{u}_b \end{Bmatrix} \quad (9)$$

in which  $\mathbf{q}_d$  and  $\mathbf{q}_r$  are the modal coordinates vectors corresponding to  $\Phi_d$  and  $\Phi_r$ , respectively.

Truncating the residual eigenvector matrix  $\Phi_r$  and the corresponding modal coordinate vector  $\mathbf{q}_r$  in Eq. (9), the approximated global displacement vector  $\bar{\mathbf{u}}_g$  is obtained:

$$\mathbf{u}_g \approx \bar{\mathbf{u}}_g = \begin{Bmatrix} \bar{\mathbf{u}}_s \\ \bar{\mathbf{u}}_b \end{Bmatrix} = \bar{\mathbf{T}}_0 \bar{\mathbf{u}}_0, \quad \bar{\mathbf{T}}_0 = \begin{bmatrix} \Phi_d & \Psi_c \\ \mathbf{0} & I_b \end{bmatrix}, \quad \bar{\mathbf{u}}_0 = \begin{Bmatrix} \mathbf{q}_d \\ \mathbf{u}_b \end{Bmatrix} \quad (10)$$

where  $\bar{\mathbf{T}}_0$  and  $\bar{\mathbf{u}}_0$  are the CB transformation matrix ( $N_g \times \bar{N}_0$ ) and the corresponding generalized coordinate vector, respectively.  $\bar{N}_0$  is the number of DOFs in the reduced FE model;  $\bar{N}_0 = N_d + N_b$  with

$$N_d = \sum_{i=1}^{N_s} N_d^{(i)}$$

where  $N_d^{(i)}$  is the number of dominant modes of the  $i$ th substructure, and  $N_b$  is the number of DOFs on the interface boundary. The overbar ( $\bar{\quad}$ ) denotes the approximated quantity. Note that the residual eigenvector matrix  $\Phi_r$  is simply truncated without any consideration.

Using the transformation matrix  $\bar{\mathbf{T}}_0$  in Eq. (10), the reduced equations of motion are

$$\bar{\mathbf{M}}_0 \ddot{\bar{\mathbf{u}}}_0 + \bar{\mathbf{K}}_0 \bar{\mathbf{u}}_0 = \mathbf{0} \quad \text{with} \quad \bar{\mathbf{M}}_0 = \bar{\mathbf{T}}_0^T \mathbf{M}_g \bar{\mathbf{T}}_0, \quad \bar{\mathbf{K}}_0 = \bar{\mathbf{T}}_0^T \mathbf{K}_g \bar{\mathbf{T}}_0 \quad (11)$$

in which  $\bar{\mathbf{M}}_0$  and  $\bar{\mathbf{K}}_0$  are the reduced mass and stiffness matrices ( $\bar{N}_0 \times \bar{N}_0$ ), respectively.

Using  $\bar{\mathbf{M}}_0$  and  $\bar{\mathbf{K}}_0$  in Eq. (11), the reduced eigenvalue problem is given by

$$\bar{\mathbf{K}}_0 \{\bar{\varphi}_0\}_j = (\bar{\lambda}_0)_j \bar{\mathbf{M}}_0 \{\bar{\varphi}_0\}_j \quad \text{for } j = 1, 2, \dots, \bar{N}_0 \quad (12)$$

and the approximated eigenvector matrix  $\bar{\Phi}_0$  is defined as

$$\bar{\Phi}_0 = \left[ \{\bar{\varphi}_0\}_1 \quad \{\bar{\varphi}_0\}_2 \quad \dots \quad \{\bar{\varphi}_0\}_j \right] \quad \text{for } j = 1, 2, \dots, \bar{N}_0 \quad (13)$$

where  $(\bar{\lambda}_0)_j$  and  $\{\bar{\varphi}_0\}_j$  are the  $j$ th approximated eigenvalue and eigenvector.

The reduced displacement vector  $\bar{\mathbf{u}}_0$  is then represented by

$$\bar{\mathbf{u}}_0 = \bar{\Phi}_0 \bar{\mathbf{q}}_0 \quad (14)$$

where  $\bar{\mathbf{q}}_0$  is the modal coordinate vector corresponding to  $\bar{\Phi}_0$ , and the approximated global eigenvector matrix  $\bar{\Phi}_g$  is obtained by

$$\bar{\Phi}_g = \bar{\mathbf{T}}_0 \bar{\Phi}_0, \quad \{\bar{\varphi}_g\}_j = \bar{\mathbf{T}}_0 \{\bar{\varphi}_0\}_j \quad \text{for } j = 1, 2, \dots, \bar{N}_0 \quad (15)$$

### III. Higher-Order Craig-Bampton Method

In this section, we derive the formulation of the higher-order CB (HCB) method, in which the residual eigenvector matrix  $\Phi_r$  is properly considered to construct the reduced model more accurately.

Using  $\mathbf{T}_0$  in Eq. (9), the equations of motion in Eq. (1) are transformed into

$$\left[ \frac{d^2}{dt^2} \mathbf{M}_0 + \mathbf{K}_0 \right] \mathbf{u}_0 = \mathbf{0} \quad (16a)$$

$$\mathbf{M}_0 = \mathbf{T}_0^T \mathbf{M}_g \mathbf{T}_0 = \begin{bmatrix} I_d & \mathbf{0} & \Phi_d^T \hat{\mathbf{M}}_c \\ \mathbf{0} & I_r & \Phi_r^T \hat{\mathbf{M}}_c \\ \hat{\mathbf{M}}_c^T \Phi_d & \hat{\mathbf{M}}_c^T \Phi_r & \hat{\mathbf{M}}_b \end{bmatrix}, \quad (16b)$$

$$\mathbf{K}_0 = \mathbf{T}_0^T \mathbf{K}_g \mathbf{T}_0 = \begin{bmatrix} \Lambda_d & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \Lambda_r & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \hat{\mathbf{K}}_b \end{bmatrix}$$

where  $\mathbf{M}_0$  and  $\mathbf{K}_0$  are the transformed global mass and stiffness matrices, respectively, and the component matrices in Eq. (16b) are defined by

$$I_d = \Phi_d^T \mathbf{M}_s \Phi_d, \quad I_r = \Phi_r^T \mathbf{M}_s \Phi_r \quad (17a)$$

$$\Lambda_d = \Phi_d^T \mathbf{K}_s \Phi_d, \quad \Lambda_r = \Phi_r^T \mathbf{K}_s \Phi_r \quad (17b)$$

$$\hat{\mathbf{M}}_c = \mathbf{M}_c + \mathbf{M}_s \Psi_c, \quad \hat{\mathbf{M}}_b = \mathbf{M}_b + \Psi_c^T \mathbf{M}_c + \mathbf{M}_c^T \Psi_c + \Psi_c^T \mathbf{M}_s \Psi_c \quad (17c)$$

$$\hat{\mathbf{K}}_b = \mathbf{K}_b + \mathbf{K}_c^T \Psi_c \quad (17d)$$

Considering a harmonic response [ $d^2(\cdot)/dt^2 = -\lambda$ ], Eq. (16) can be rewritten as

$$\begin{bmatrix} \Lambda_d - \lambda I_d & \mathbf{0} & -\lambda \Phi_d^T \hat{\mathbf{M}}_c \\ \mathbf{0} & \Lambda_r - \lambda I_r & -\lambda \Phi_r^T \hat{\mathbf{M}}_c \\ -\lambda \hat{\mathbf{M}}_c^T \Phi_d & -\lambda \hat{\mathbf{M}}_c^T \Phi_r & \hat{\mathbf{K}}_b - \lambda \hat{\mathbf{M}}_b \end{bmatrix} \begin{Bmatrix} \mathbf{q}_d \\ \mathbf{q}_r \\ \mathbf{u}_b \end{Bmatrix} = \mathbf{0} \quad (18)$$

and, from the second row in Eq. (18), the following equation is obtained:

$$\mathbf{q}_r = \lambda [\Lambda_r - \lambda I_r]^{-1} \Phi_r^T \hat{\mathbf{M}}_c \mathbf{u}_b \quad (19)$$

Substituting Eq. (19) into Eq. (9), the global displacement vector  $\mathbf{u}_g$  can be represent as

$$\mathbf{u}_g = \begin{Bmatrix} \mathbf{u}_s \\ \mathbf{u}_b \end{Bmatrix} = \mathbf{T}_0 \mathbf{u}_0 \quad \text{with}$$

$$\mathbf{T}_0 = \begin{bmatrix} \Phi_d & \Psi_c + \lambda \Phi_r [\Lambda_r - \lambda I_r]^{-1} \Phi_r^T \hat{\mathbf{M}}_c \\ \mathbf{0} & I_b \end{bmatrix}, \quad \mathbf{u}_0 = \begin{Bmatrix} \mathbf{q}_d \\ \mathbf{u}_b \end{Bmatrix} \quad (20)$$

In Eq. (20), the residual flexibility  $\Phi_r [\Lambda_r - \lambda I_r]^{-1} \Phi_r^T$  can be expanded by using Taylor series [17,19,21,22]:

$$\Phi_r [\Lambda_r - \lambda I_r]^{-1} \Phi_r^T = \mathbf{F}_1 + \lambda^1 \mathbf{F}_2 + \dots + \lambda^{i-1} \mathbf{F}_i + \dots \quad \text{with}$$

$$\mathbf{F}_i = \Phi_r \Lambda_r^{-i} \Phi_r^T \quad (21)$$

where  $\mathbf{F}_i$  is the  $i$ th-order residual flexibility matrix.

It should be noted that, through the Neumann series expansion theorem [28], the expansion in Eq. (21) is valid if the eigenvalue  $\lambda$  is smaller than the smallest eigenvalue of  $\Lambda_r$ , which is the residual eigenvalue matrix for substructures. In the CB method, this expansion is generally valid because the dominant substructural modes are selected to reflect lower modes of the original FE model [4].

Without using the residual modes, the residual flexibility matrix  $\mathbf{F}_i$  is indirectly calculated by

$$\mathbf{F}_i = \mathbf{K}_s^{-i} - \Phi_d \Lambda_d^{-i} \Phi_d^T \quad (22)$$

It is important to note that, as the order  $i$  increases,  $\mathbf{K}_s^{-i}$  and  $\Phi_d \Lambda_d^{-i} \Phi_d^T$  rapidly approach one another. This results in a loss of precision in the computation of  $\mathbf{F}_i$ . Therefore, for the precise calculation of  $\mathbf{F}_i$ , the number of significant digits used must be properly chosen. This issue will be studied through a numerical example in Sec. IV.A.

Considering the  $n$ th-order approximation of the residual flexibility

$$\Phi_r [\Lambda_r - \lambda I_r]^{-1} \Phi_r^T \approx \mathbf{F}_1 + \lambda^1 \mathbf{F}_2 + \cdots + \lambda^{n-1} \mathbf{F}_n \quad (23)$$

and substituting it into Eq. (20), the  $n$ th-order approximation of the global displacement vector  $\mathbf{u}_g$  is given by

$$\mathbf{u}_g \approx \bar{\mathbf{u}}_g = \begin{Bmatrix} \bar{\mathbf{u}}_s \\ \mathbf{u}_b \end{Bmatrix} = \hat{\mathbf{T}}_n \bar{\mathbf{u}}_n \quad \text{with}$$

$$\hat{\mathbf{T}}_n = \begin{bmatrix} \Phi_d & \Psi_c & \hat{\Theta}_1 & \cdots & \hat{\Theta}_n \\ \mathbf{0} & \mathbf{I}_b & \mathbf{0} & \cdots & \mathbf{0} \end{bmatrix}, \quad \bar{\mathbf{u}}_n = \begin{Bmatrix} q_d \\ \frac{u_b}{\eta_1} \\ \vdots \\ \eta_n \end{Bmatrix}$$

$$\hat{\Theta}_n = \mathbf{F}_n \hat{\mathbf{M}}_c, \quad \eta_n = \lambda^n u_b \quad (24)$$

where  $\hat{\mathbf{T}}_n$  and  $\bar{\mathbf{u}}_n$  are the HCB transformation matrix ( $N_g \times \bar{N}_n$ ) and the corresponding generalized coordinate vector, respectively.  $\bar{N}_n$  is the number of DOFs in the reduced FE model ( $\bar{N}_n = N_d + N_b \times n$ ),  $\hat{\Theta}_n$  is the residual mode matrix containing the  $n$ th-order residual flexibility  $\mathbf{F}_n$ , and  $\eta_n$  is the additional coordinate vector containing the unknown eigenvalue  $\lambda^n$ . Note that the zeroth-order transformation matrix ( $n = 0$ ) is nothing but the CB transformation matrix  $\hat{\mathbf{T}}_0$  in Eq. (10).

As mentioned already,  $\Phi_d$  has been normalized with respect to  $\mathbf{M}_s$ . On the other hand,  $\hat{\Theta}_n$  has an arbitrary amplitude without normalization. Thus,  $\hat{\Theta}_n$  needs to be properly normalized. Otherwise,  $\hat{\Theta}_n$  may produce a badly scaled transformation matrix, which results in ill-conditioned reduced stiffness and mass matrices. We normalize each column of  $\hat{\Theta}_n$  using its L2-norm [29,30]:

$$\Theta_n = \hat{\Theta}_n \mathbf{G}_n^{-1} \quad \text{with} \quad \mathbf{G}_n = \begin{bmatrix} \|\{\theta_n\}_1\|_2 & & & \mathbf{0} \\ & \|\{\theta_n\}_2\|_2 & & \\ & & \ddots & \\ \mathbf{0} & & & \|\{\theta_n\}_{N_b}\|_2 \end{bmatrix} \quad (25)$$

where  $\Theta_n$  is the normalized residual mode matrix containing the  $n$ th-order residual flexibility, and  $\{\theta_n\}_j$  is the  $j$ th column vector of  $\hat{\Theta}_n$ .

For the  $n$ th-order HCB method, the global displacement vector  $\mathbf{u}_g$  can be approximated by

$$\mathbf{u}_g \approx \bar{\mathbf{u}}_g = \begin{Bmatrix} \bar{\mathbf{u}}_s \\ \mathbf{u}_b \end{Bmatrix} = \bar{\mathbf{T}}_n \bar{\mathbf{u}}_n \quad \text{with}$$

$$\bar{\mathbf{T}}_n = \begin{bmatrix} \Phi_d & \Psi_c & \Theta_1 & \cdots & \Theta_n \\ \mathbf{0} & \mathbf{I}_b & \mathbf{0} & \cdots & \mathbf{0} \end{bmatrix}, \quad \bar{\mathbf{u}}_n = \begin{Bmatrix} q_d \\ \frac{u_b}{\eta_1} \\ \vdots \\ \eta_n \end{Bmatrix} \quad (26)$$

where  $\bar{\mathbf{T}}_n$  and  $\bar{\mathbf{u}}_n$  are the  $n$ th-order HCB transformation matrix ( $N_g \times \bar{N}_n$ ) and the corresponding generalized coordinate vector, respectively.

Using  $\bar{\mathbf{T}}_n$  in Eq. (26), the following reduced equations of motion are obtained:

$$\bar{\mathbf{M}}_n \ddot{\bar{\mathbf{u}}}_n + \bar{\mathbf{K}}_n \bar{\mathbf{u}}_n = \mathbf{0} \quad \text{with} \quad \bar{\mathbf{M}}_n = \bar{\mathbf{T}}_n^T \mathbf{M}_g \bar{\mathbf{T}}_n, \quad \bar{\mathbf{K}}_n = \bar{\mathbf{T}}_n^T \mathbf{K}_g \bar{\mathbf{T}}_n \quad (27)$$

in which  $\bar{\mathbf{M}}_n$  and  $\bar{\mathbf{K}}_n$  are the reduced mass and stiffness matrices ( $\bar{N}_n \times \bar{N}_n$ ). Note that the reduced system in Eq. (27) has larger size than the system reduced by the original CB method in Eq. (11) due to the use of additional generalized coordinates.

The additional coordinates can be eliminated by employing the concept of SEREP [27], which is a DOF-based reduction method without accuracy loss. Then, the reduced system in Eq. (27) can be further reduced, leading to the same number of equations of motion reduced by the original CB method in Eq. (11). However, this procedure increases computation time inevitably.

From Eq. (27), the following eigenvalue problem is obtained:

$$\bar{\mathbf{K}}_n \{\bar{\varphi}_n\}_j = (\bar{\lambda}_n)_j \bar{\mathbf{M}}_n \{\bar{\varphi}_n\}_j \quad \text{for } j = 1, 2, \dots, \bar{N}_n \quad (28)$$

where  $(\bar{\lambda}_n)_j$  and  $\{\bar{\varphi}_n\}_j$  are the eigenvalue and eigenvector, respectively.

We then calculate the eigenvectors up to the  $\bar{N}_0$ th mode and construct the following eigenvector matrix:

$$\Phi_n = \begin{bmatrix} \{\bar{\varphi}_n\}_1 & \{\bar{\varphi}_n\}_2 & \cdots & \{\bar{\varphi}_n\}_{\bar{N}_0} \end{bmatrix} \quad (29)$$

Using the eigenvector matrix in Eq. (29), the transformation matrix  $\bar{\mathbf{T}}_n$  is reduced as

$$\tilde{\mathbf{T}}_n = \bar{\mathbf{T}}_n \Phi_n \quad (30)$$

where  $\tilde{\mathbf{T}}_n$  is the reduced transformation matrix of the HCB method, the size of which is the same as that of  $\hat{\mathbf{T}}_0$  ( $N_g \times \bar{N}_0$ ).

Finally, the reduced matrices constructed by the HCB method are obtained:

$$\tilde{\mathbf{M}}_n = \tilde{\mathbf{T}}_n^T \mathbf{M}_g \tilde{\mathbf{T}}_n, \quad \tilde{\mathbf{K}}_n = \tilde{\mathbf{T}}_n^T \mathbf{K}_g \tilde{\mathbf{T}}_n \quad (31)$$

in which  $\tilde{\mathbf{M}}_n$  and  $\tilde{\mathbf{K}}_n$  are the reduced mass and stiffness matrices of size  $\bar{N}_0 \times \bar{N}_0$ .

The reduced eigenvalue problem in the HCB method is also defined by

$$\tilde{\mathbf{K}}_n \{\tilde{\varphi}_n\}_j = (\tilde{\lambda}_n)_j \tilde{\mathbf{M}}_n \{\tilde{\varphi}_n\}_j \quad \text{for } j = 1, 2, \dots, \bar{N}_0 \quad (32)$$

where  $(\tilde{\lambda}_n)_j$  and  $\{\tilde{\varphi}_n\}_j$  are the approximated eigenvalues and eigenvectors, respectively.

As the order of residual flexibility considered in the formulation increases, the reduced system becomes more accurate. Various orders of the HCB methods can be defined depending on the order

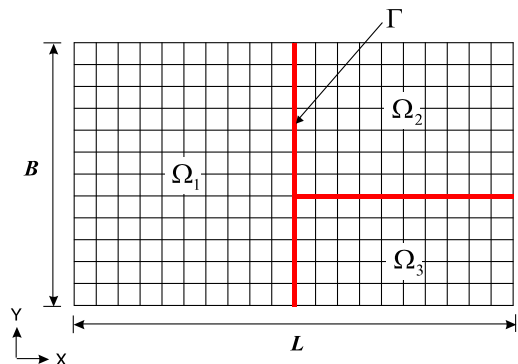


Fig. 2 Rectangular plate problem (20 × 12 mesh, three substructures).

**Table 1** Numbers of dominant modes selected for the rectangular plate problem

$N_d^{(1)}$	$N_d^{(2)}$	$N_d^{(3)}$	$N_d$	$N_g$
13	7	5	25	1365

$$\xi_j = \frac{|\lambda_j - \bar{\lambda}_j|}{\lambda_j} \tag{33}$$

considered. Here, we define the  $n$ th-order HCB method (denoted HCB- $n$ ), in which the  $n$ th-order HCB transformation matrix is used. Note that HCB-0 is equivalent to the original CB method.

### IV. Numerical Examples

In this section, we compare the performance of the present method (HCB) with two previous methods: the original CB method (CB) and the enhanced CB method (ECB). Four structural problems are considered: rectangular plate, cylindrical panel, hyperboloid shell, and bent pipe problems. The component mode synthesis methods are implemented in MATLAB, and computation is performed on a personal computer (Intel Core i7-3770, 3.40 GHz CPU, 32 GB RAM). The well-known frequency cutoff criterion [31] is adopted to select substructural dominant modes.

To measure the accuracy of the reduced models constructed by different methods, the following relative eigenvalue errors are calculated:

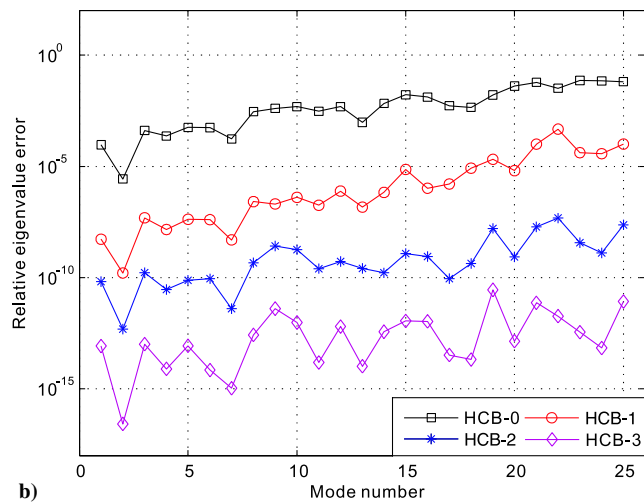
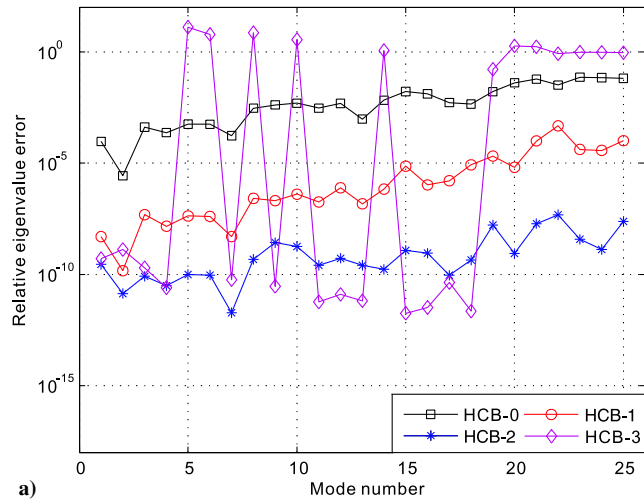
where  $\xi_j$  is the  $j$ th relative eigenvalue error,  $\lambda_j$  is the  $j$ th exact eigenvalue calculated from the global (original) eigenvalue problem in Eq. (2), and  $\bar{\lambda}_j$  is the  $j$ th approximated eigenvalue calculated from the reduced eigenvalue problem. Note that rigid-body modes are not considered in measuring the accuracy.

#### A. Rectangular Plate Problem

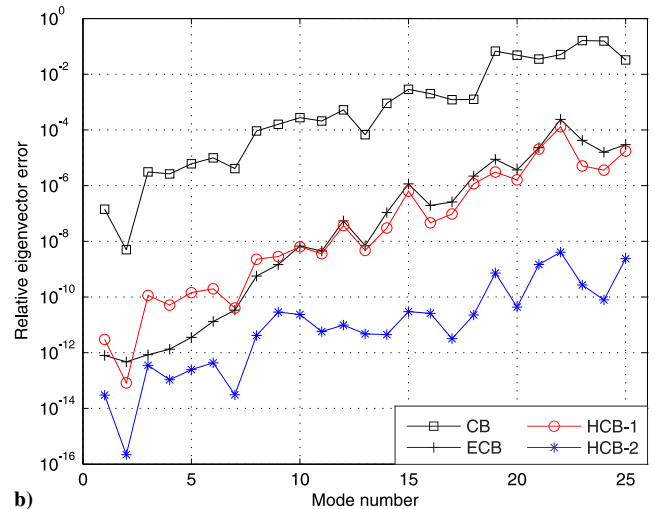
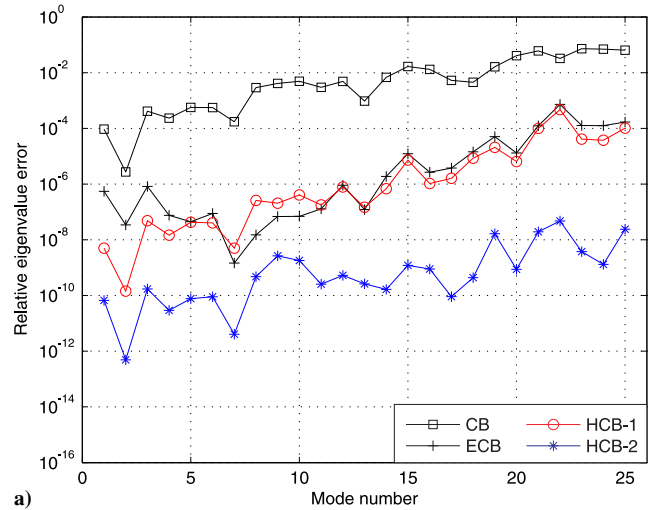
Consider a rectangular plate with free boundary in Fig. 2. Its length  $L$  is 20.0 m, width  $B$  is 12.0 m, and thickness  $h$  is 0.08 m. Young's modulus  $E$  is 206 GPa, Poisson's ratio  $\nu$  is 0.33, and density  $\rho$  is 7850 kg/m<sup>3</sup>. The plate structure is modeled by a  $20 \times 12$  mesh of the four-node mixed interpolation of tensorial components (MITC) shell elements [32–35] and partitioned into three substructures ( $N_s = 3$ ). The number of DOFs for this problem is 1365 ( $N_g = 1365$ ).

We select 25 dominant modes ( $N_d = 25$ ). The number of modes selected in each substructure ( $N_d^{(k)}$ ) is listed in Table 1. Using four HCB methods (HCB-0, HCB-1, HCB-2, and HCB-3), we construct reduced models. The four methods are implemented with two different numbers of significant digits, namely 16 and 32.

Figures 3a and 3b present the relative eigenvalue errors obtained by the four HCB methods using 16 and 32 significant digits, respectively. When 16 significant digits are used for computation, the accuracy of the HCB-3 method deteriorates due to the loss of



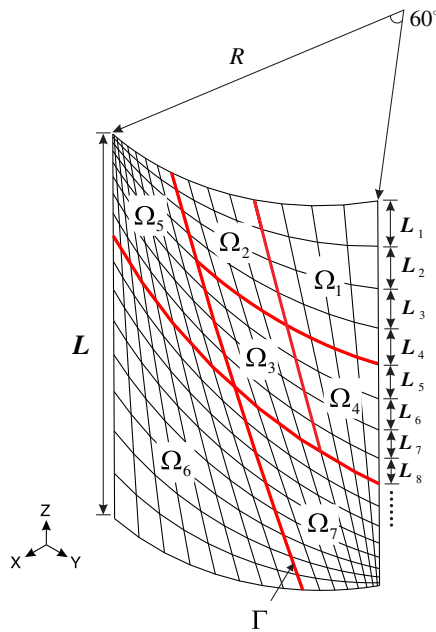
**Fig. 3** Relative eigenvalue errors for the rectangular plate problem ( $20 \times 12$  mesh, three substructures,  $N_d = 25$ ): a) 16 significant digits, and b) 32 significant digits.



**Fig. 4** Errors for the rectangular plate problem ( $20 \times 12$  mesh, three substructures,  $N_d = 25$ ): a) relative eigenvalue errors, and b) relative eigenvector errors.

**Table 2** Relative eigenvalue errors for the rectangular plate problem

Mode number	CB	ECB	Present	
			HCB-1	HCB-2
1	9.415E-05	5.002E-07	5.005E-09	2.959E-10
2	2.728E-06	8.761E-09	1.427E-10	1.950E-11
3	4.116E-04	2.025E-07	4.866E-08	8.009E-11
4	2.340E-04	2.197E-08	1.457E-08	2.506E-11
5	5.675E-04	4.546E-08	4.259E-08	9.764E-11
6	5.586E-04	1.249E-08	4.047E-08	9.358E-11
7	1.717E-04	2.960E-09	5.021E-09	1.588E-12
8	2.912E-03	1.219E-08	2.600E-07	4.676E-10
9	4.129E-03	6.508E-08	2.054E-07	2.649E-09
10	4.914E-03	1.323E-07	4.067E-07	1.798E-09
11	2.972E-03	1.375E-07	1.792E-07	2.574E-10
12	4.866E-03	9.119E-07	7.829E-07	5.316E-10
13	9.609E-04	1.271E-07	1.477E-07	2.608E-10
14	6.775E-03	1.882E-06	6.818E-07	1.666E-10
15	1.662E-02	1.236E-05	7.281E-06	1.207E-09
16	1.306E-02	2.638E-06	1.051E-06	8.905E-10
17	5.277E-03	3.759E-06	1.610E-06	9.068E-11
18	4.522E-03	1.441E-05	8.445E-06	4.373E-10
19	1.627E-02	5.038E-05	2.083E-05	1.653E-08
20	4.090E-02	1.305E-05	6.466E-06	8.669E-10
21	6.032E-02	1.227E-04	1.002E-04	1.923E-08
22	3.275E-02	7.298E-04	4.724E-04	4.747E-08
23	7.284E-02	1.260E-04	4.135E-05	3.747E-09
24	7.013E-02	1.250E-04	3.734E-05	1.310E-09
25	6.422E-02	1.694E-04	1.021E-04	2.382E-08

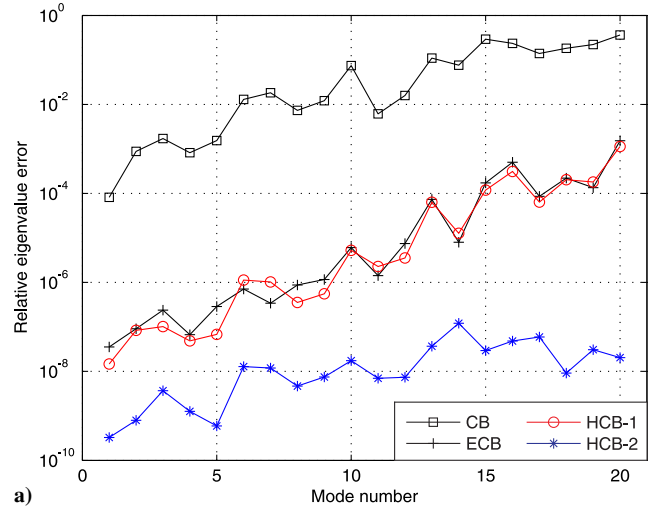


**Fig. 5** Cylindrical panel problem with a distorted mesh.

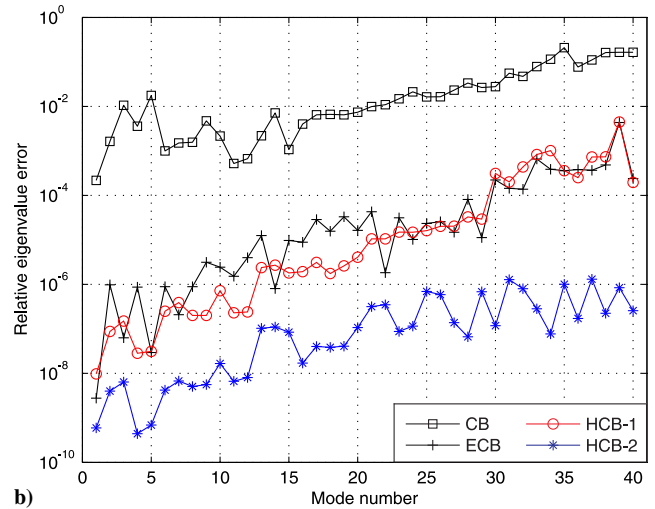
precision in the computation of  $F_i$ . However, when 32 significant decimal digits are used, the accuracy deterioration phenomenon disappears. Because 16 significant digits are usually employed in engineering computations, this problem must be resolved in future work.

**Table 3** Number of dominant modes selected for the cylindrical panel problem

Case	$N_d^{(1)}$	$N_d^{(2)}$	$N_d^{(3)}$	$N_d^{(4)}$	$N_d^{(5)}$	$N_d^{(6)}$	$N_d^{(7)}$	$N_d$	$N_g$
1	2	2	2	2	4	4	4	20	1445
2	4	4	4	4	8	8	8	40	1445

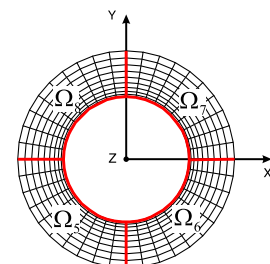
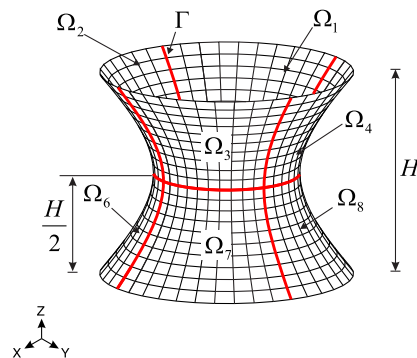


**a)**



**b)**

**Fig. 6** Relative eigenvalue errors for the cylindrical panel problem: a)  $N_d = 20$ , and b)  $N_d = 40$ .



**Fig. 7** Hyperboloid shell problem.

**Table 4** Numbers of dominant modes selected for the hyperboloid shell problem

Case	$N_d^{(1)}$	$N_d^{(2)}$	$N_d^{(3)}$	$N_d^{(4)}$	$N_d^{(5)}$	$N_d^{(6)}$	$N_d^{(7)}$	$N_d^{(8)}$	$N_d$	$N_g$
1	3	3	3	3	3	3	3	3	24	4200
2	4	4	4	4	4	4	4	4	32	4200

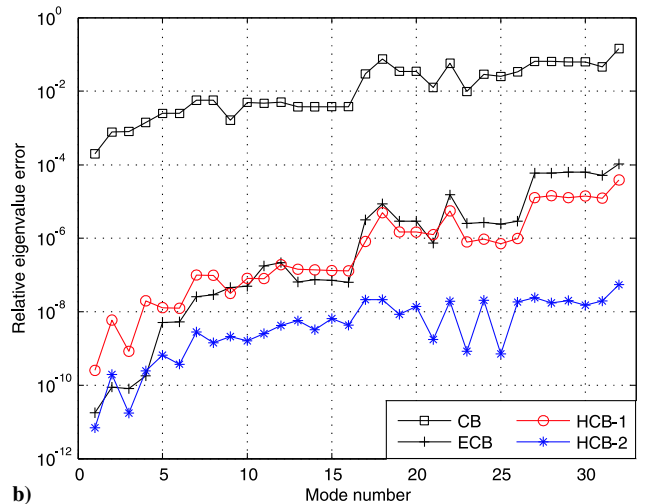
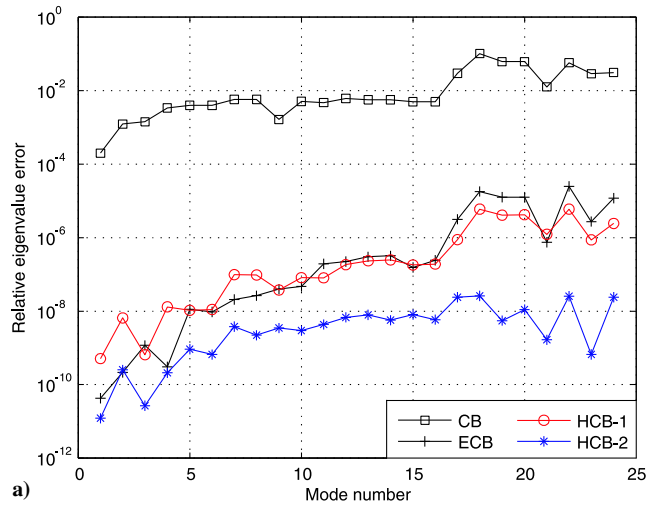
After this point, we use only 16 significant digits in computation due to the large amount of computation time required for 32 significant digits. Figure 4a and Table 2 present the relative eigenvalue errors in reduced models constructed by the CB, ECB, HCB-1, and HCB-2 methods.

Figure 4b presents the relative eigenvector errors defined using MAC (modal assurance criterion) [36]

$$\zeta_i = 1 - \frac{|\boldsymbol{\varphi}_i \cdot \bar{\boldsymbol{\varphi}}_i|}{\|\boldsymbol{\varphi}_i\|_2 \|\bar{\boldsymbol{\varphi}}_i\|_2} \quad (34)$$

where  $\zeta_i$  is the  $i$ th relative eigenvector error,  $\boldsymbol{\varphi}_i$  is the  $i$ th exact eigenvector calculated from the global (original) eigenvalue problem in Eq. (2), and  $\bar{\boldsymbol{\varphi}}_i$  is the  $i$ th approximated eigenvector obtained from the reduced eigenvalue problem.

The results in Fig. 4 show that the accuracy of the HCB-1 method is similar to that of the ECB method, and the HCB-2 method provides further improved accuracy, in particular, in relatively higher modes.



**Fig. 8** Relative eigenvalue errors for the hyperboloid shell problem: a)  $N_d = 24$ , and b)  $N_d = 32$ .

**B. Cylindrical Panel Problem**

A cylindrical panel with free boundary is considered as shown in Fig. 5. The length  $L$  is 0.8 m, radius  $R$  is 0.5 m, and thickness is 0.005 m. Young’s modulus  $E$  is 69 GPa, Poisson’s ratio  $\nu$  is 0.35, and density  $\rho$  is 2700 kg/m<sup>3</sup>.

The cylindrical panel is modeled by a  $16 \times 16$  distorted mesh of finite shell elements [32–35], in which each edge is discretized in the following ratio:

$$L_1 : L_2 : L_3 : \dots : L_{16} = 16 : 15 : 14 : \dots : 1 \quad (35)$$

The number of DOFs is 1445 ( $N_g = 1445$ ). The FE model is partitioned into seven substructures ( $N_s = 7$ ).

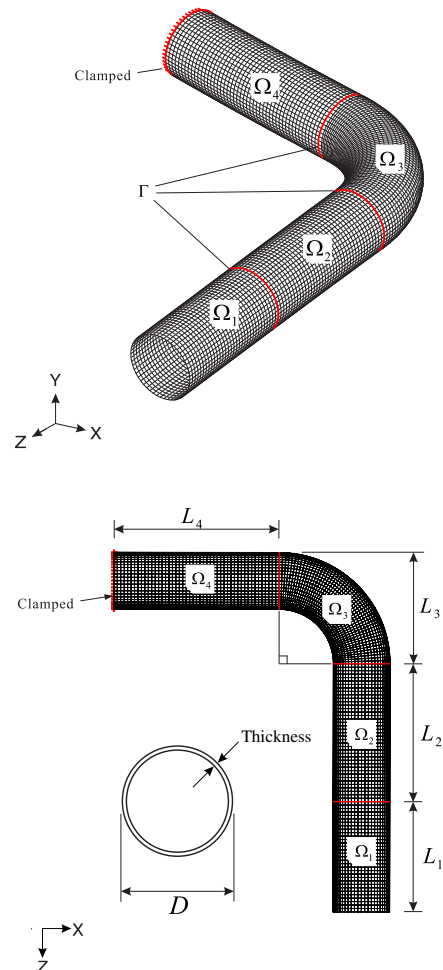
We consider two numerical cases with 20 and 40 dominant modes selected ( $N_d = 20$  and  $N_d = 40$ ). The number of modes selected in each substructure ( $N_d^{(k)}$ ) is listed in Table 3. Figure 6 presents the relative eigenvalue errors obtained using the CB, ECB, HCB-1, and HCB-2 methods. The results consistently demonstrate the improved accuracy of the HCB methods.

**C. Hyperboloid Shell Problem**

We consider a hyperboloid shell structure with free boundary in Fig. 7. The height  $H$  is 4.0 m, and thickness is 0.05 m. Young’s modulus  $E$  is 69 GPa, Poisson’s ratio  $\nu$  is 0.35, and density  $\rho$  is 2700 kg/m<sup>3</sup>. The midsurface of this shell structure is described by

$$x^2 + y^2 = 2 + z^2; \quad z \in [-2, 2] \quad (36)$$

The hyperboloid shell structure is modeled using a  $40 \times 20$  mesh of the four-node MITC shell elements [32–35]. The number of DOFs



**Fig. 9** Bent pipe problem (four substructures).

**Table 5** Numbers of dominant modes selected for the bent pipe problem

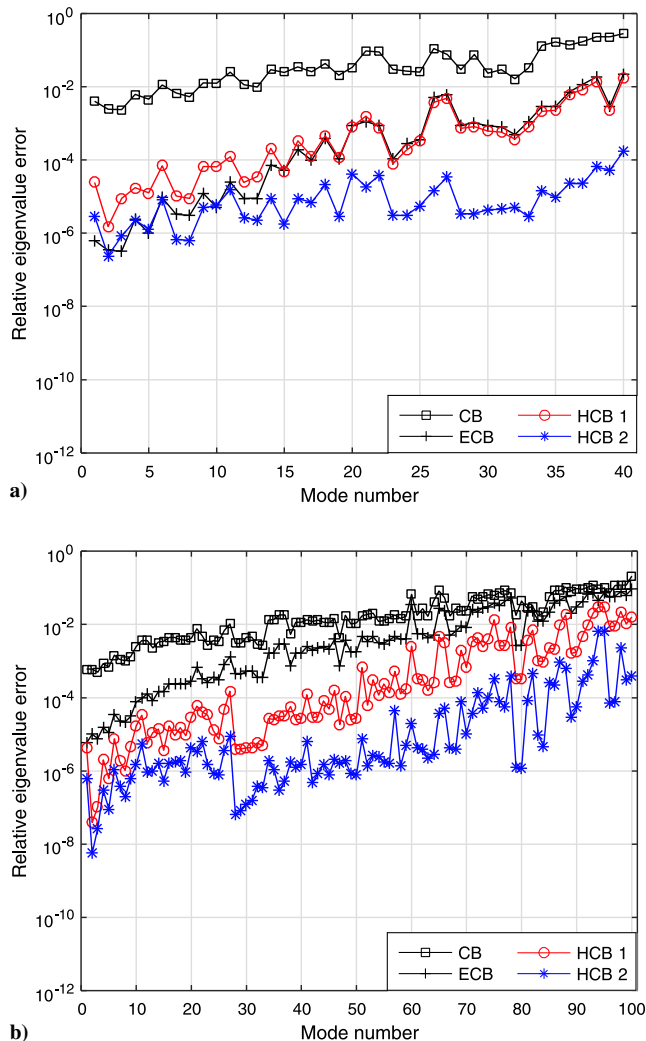
Case	$N_d^{(1)}$	$N_d^{(2)}$	$N_d^{(3)}$	$N_d^{(4)}$	$N_d$	$N_g$
1	10	10	10	10	40	44,728
2	25	25	25	25	100	44,728

used is 4200 ( $N_g = 4200$ ), and the FE model is partitioned into eight substructures ( $N_s = 8$ ).

Two numerical cases are considered with 24 and 32 dominant modes selected ( $N_d = 24$  and  $N_d = 32$ ). The number of modes selected in each substructure ( $N_d^{(k)}$ ) is listed in Table 4. Figure 8 presents the relative eigenvalue errors obtained using the CB, ECB, HCB-1, and HCB-2 methods. The results demonstrate the excellent performance of the HCB methods.

#### D. Bent Pipe Problem

We consider a bent pipe structure with clamped-free boundary in Fig. 9. The lengths  $L_1, L_2, L_3$ , and  $L_4$  are 12.0, 15.0, 9.0, and 18.0 m, respectively, and the bent angle is 90 deg. Diameter  $D$  is 0.168 m, and thickness is 0.01 m. Young's modulus  $E$  is 204 GPa, Poisson's ratio  $\nu$  is 0.35, and density  $\rho$  is 2700 kg/m<sup>3</sup>. The bent pipe structure is modeled using the four-node MITC shell elements [32–35]. The number of DOFs used is 44,728 ( $N_g = 44,728$ ), and the FE model is partitioned into four substructures ( $N_s = 4$ ).



**Fig. 10** Relative eigenvalue errors for the bent pipe problem: a)  $N_d = 40$ , and b)  $N_d = 100$ .

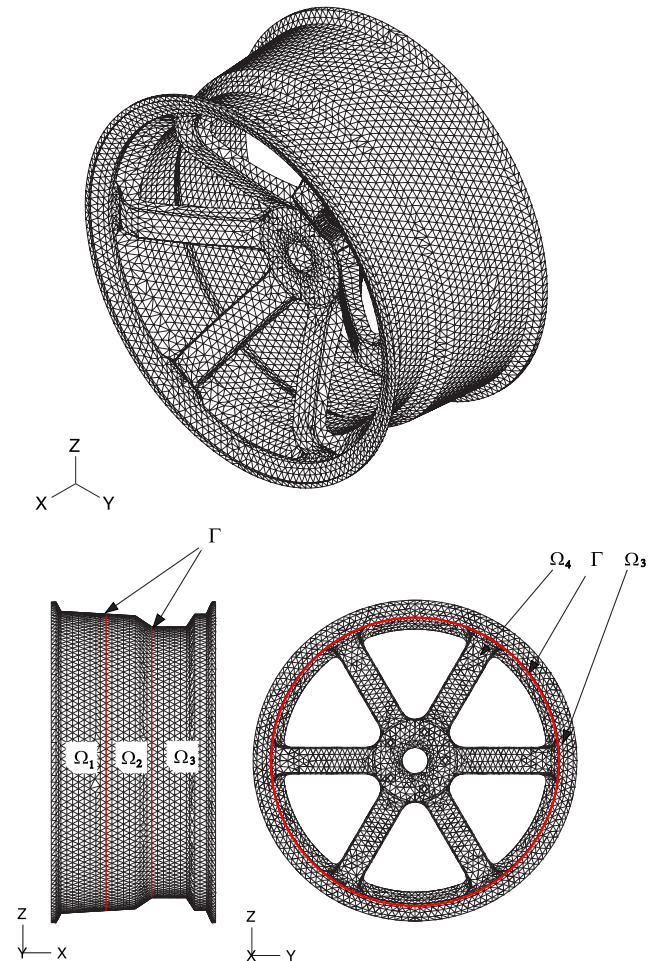
Two numerical cases are considered with 40 and 100 dominant modes selected ( $N_d = 40$  and  $N_d = 100$ ). The number of modes selected in each substructure ( $N_d^{(k)}$ ) is listed in Table 5. Figure 10 presents the relative eigenvalue errors obtained using the CB, ECB, HCB-1, and HCB-2 methods. From the results, the improved accuracy of the HCB methods is well observed.

#### V. Computation Time

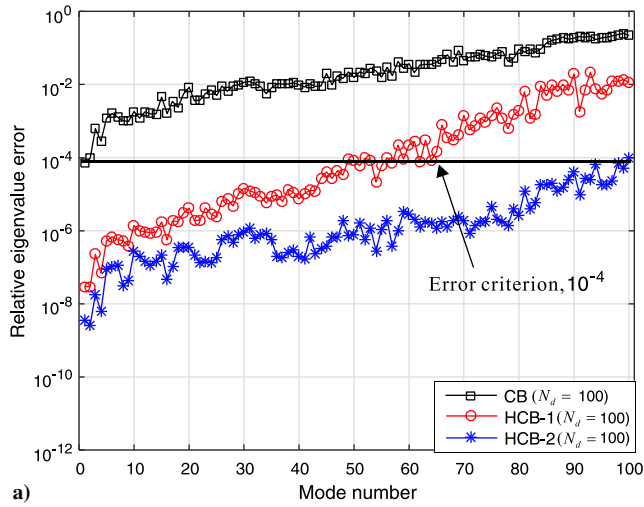
In this section, we compare the computation times required for the CB and HCB methods. An automobile wheel with free boundary is considered as shown in Fig. 11. The outer diameter is 0.482 m (19 in.), Young's modulus  $E$  is 210 GPa, Poisson's ratio  $\nu$  is 0.3, and density  $\rho$  is 7850 kg/m<sup>3</sup>. The automobile wheel problem is modeled using three-dimensional solid finite elements, and the finite element model is partitioned into four substructures ( $N_s = 4$ ). The number of DOFs used is 54,930 ( $N_g = 54,930$ ).

We establish an error criterion, namely that the relative eigenvalue errors up to the 100th mode are less than  $10^{-4}$ , and we investigate the reduced models obtained by the three methods (CB, HCB-1, and HCB-2) until satisfying the given error criterion. Figure 12a presents the relative eigenvalue errors when the same size of reduced models is constructed. For the three methods, we select the same number of dominant modes,  $N_d = 100$ , as listed in Table 6. The HCB-2 method provides significantly better accuracy in the whole range of modes compared to others. Also, we can identify that the HCB-2 method is only satisfying the given error criterion.

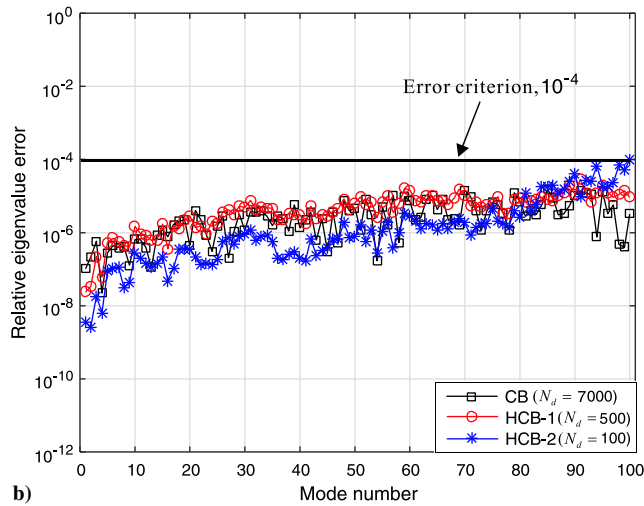
In each model reduction method, the number of dominant modes selected is determined to satisfy the criterion; see Table 7. Figure 12b shows that all of the methods satisfy the criterion. Although the original CB method satisfies the criterion with 7000 dominant modes, the HCB-2 method requires only 100 dominant modes.



**Fig. 11** Automobile wheel problem (four substructures).



a)



b)

**Fig. 12** Relative eigenvalue errors for the automobile wheel problem with the error criterion.

Table 8 presents the computation times required. The results show that the HCB-2 method produces the reduced model satisfying the criterion with less computation time than the CB method, even though using 70 times fewer modes.

In addition, we investigate the computation times for calculating 100 eigenpairs in the global and reduced models of the automobile wheel problem. Table 9 shows the computation times. The reduced model requires 3.41 s, whereas the global model requires 15.95 s. The

**Table 6** Numbers of dominant modes selected for the automobile wheel problem in Fig. 12a

Method	$N_d^{(1)}$	$N_d^{(2)}$	$N_d^{(3)}$	$N_d^{(4)}$	$N_d$	$N_g$
CB	25	25	25	25	100	54,930
HCB-1	25	25	25	25	100	54,930
HCB-2	25	25	25	25	100	54,930

**Table 7** Numbers of dominant modes selected for the automobile wheel problem in Fig. 12b

Method	$N_d^{(1)}$	$N_d^{(2)}$	$N_d^{(3)}$	$N_d^{(4)}$	$N_d$	$N_g$
CB	1500	1500	1500	1500	6000	54,930
HCB-1	125	125	125	125	500	54,930
HCB-2	25	25	25	25	100	54,930

**Table 8** Specific computation times for the automobile wheel problem in Fig. 12b

Items	Methods		
	CB ( $N_d = 7000$ )	HCB-1 ( $N_d = 500$ )	HCB-2 ( $N_d = 100$ )
Solving the substructural eigenvalue problems $K_s^{(i)} \Phi_s^{(i)} = \Lambda_s^{(i)} M_s^{(i)} \Phi_s^{(i)}$	1014.07	16.03	3.40
Calculating the constraint mode matrix $\Psi_c$	395.78	395.78	395.78
Calculating the residual mode matrix $\Theta_n^a$	—	205.41	744.61
Conducting the transformation procedure	918.76	698.41	778.37
Conducting the SEREP procedure <sup>a</sup>	—	97.53	169.73
Solving the reduced eigenvalue problem	21.51	2.78	3.41
Total	2350.12	1415.94	2095.30

<sup>a</sup>Items only required in the HCB methods.

**Table 9** Computation times for calculating 100 eigenpairs for the wheel problem

Model	Computation time	
	CPU time, s	Ratio, %
Global model	15.95	100.00
Reduced model (by the HCB-2 method)	3.41	21.38

results demonstrate the well-known advantage of reduced-order models from the computational point of view.

## VI. Conclusions

In this study, a new component mode synthesis (CMS) method was developed. The method is based on the well-known Craig–Bampton (CB) method. Because the higher-order effects of residual modes is considered in the formulation, it is named the higher-order Craig–Bampton (HCB) method. In the HCB method, the unknown coefficients in the residual flexibility are considered as additional generalized coordinates, which are eliminated in the final formulation.

Through numerical examples, the performance of the HCB method was demonstrated. The numerical results were compared with the original CB method (CB) and the enhanced CB method (ECB). We observed that the HCB method could construct the reduced-order models with significantly improved accuracy. The computational efficiency of the HCB method was also tested. In future works, it will be valuable to improve the computational efficiency of the HCB methods.

## Acknowledgments

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